



# Digital Communication I: Modulation and Coding Course



Spring - 2015

Jeffrey N Denenberg

Lecture 1a: Course Introduction

# Course information

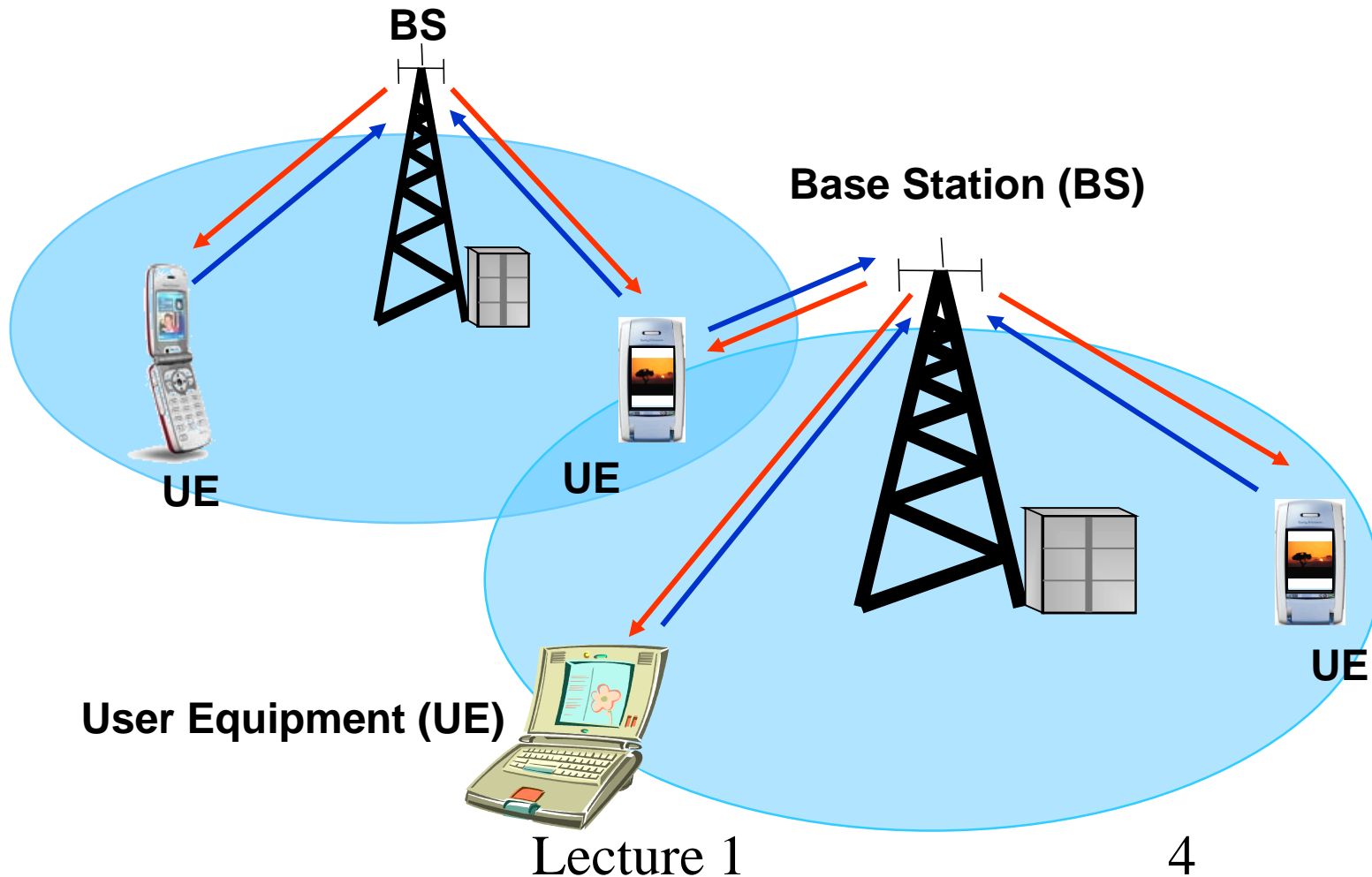
- Scope of the course
  - Digital Communication systems
- Practical information
  - Course material
  - Lay-out of the course in terms of Lectures, Tutorials, Project assignment, Exams
  - More detailed information on the Project
  - Lay-out of the course indicating which parts of the course are easier/more difficult.
  - Complete syllabus at: <http://doctord.webhop.net>
  - Introduction to digital communication systems

# Scope of the course

- Communications is a process by which information is exchanged between individuals through a common system of symbols, signs, or behaviour
- “It is about communication between people; the rest is technology”
- Communication systems are reliable, economical and efficient means of communications
    - Public switched telephone network (PSTN), mobile telephone communication (GSM, 3G, 4G...), broadcast radio or television, navigation systems, ...
  - The course is aiming at introducing fundamental issues required for understanding and designing a (digital) communication system (You will do a Design Project!)

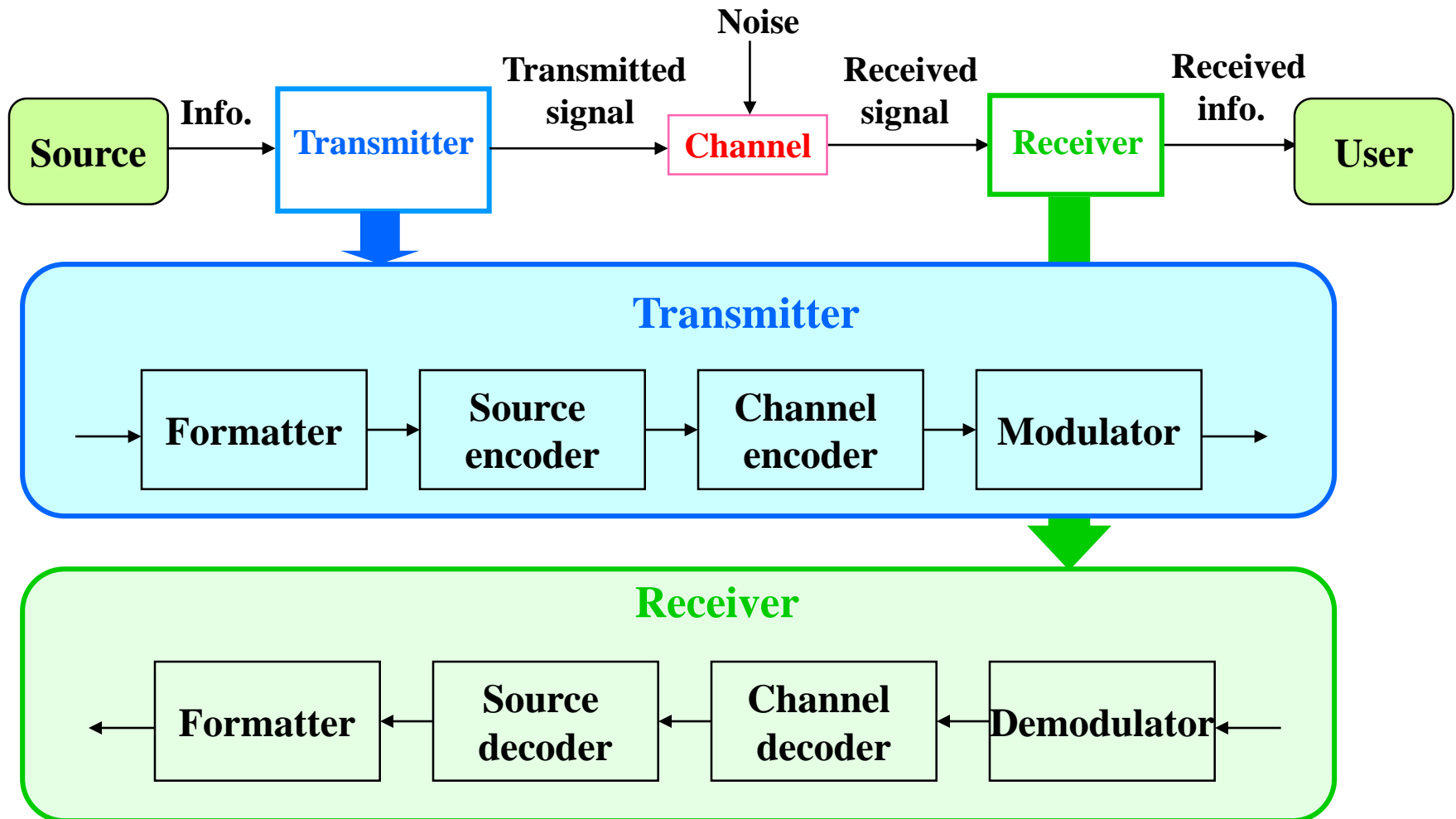
# Scope of the course ...

- Example of a (digital) communication system:  
Cellular wireless communication systems (WLAN, WSN...)



# Scope of the course ...

## General structure of a communication system



# Scope of the course ...

- Learning fundamental issues in designing a digital communication system (DCS):
  - Utilized techniques
    - Formatting and source coding\*
    - Modulation (Baseband and bandpass signaling)
    - Channel coding
    - Equalization\*
    - Synchronization\*
    - ....
  - Design goals
  - Trade-off between various parameters

\* Not covered in this course

# Practical information

- Course material
  - Course text book:
    - “Digital communications: Fundamentals and Applications” by Bernard Sklar, Prentice Hall, 2001, ISBN: 0-13-084788-7
  - Additional recommended books:
    - “Analog and Digital Communications”, Hsu, Hwei, (Schaum's Outlines), ISBN: 0-07-140228-4
    - “Communication systems engineering”, by John G. Proakis and Masoud Salehi, Prentice Hall, 2002, 2<sup>nd</sup> edition, ISBN: 0-13-095007-6
  - Materials accessible from my homepage:  
<http://DoctorD.webhop.net>
    - Lecture slides (ppt)
    - Tutorial Notes (pdf)
    - Syllabus

# Instructor

- Jeffrey N. Denenberg
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- Office Hours: Monday and Wednesday from 4:30 to 5:30 in McAuliffe Hall



# Course Lay-out

- Lec 1: Introduction. Important concepts to comprehend. Difficulty: 2. Importance: 2.
- Lec 2: Formatting and transmission of baseband signals. (Sampling, Quantization, baseband modulation). Difficulty: 6. Importance: 7.
- Lec 3: Receiver structure (demodulation, detection, matched filter/correlation receiver). Diff.: 5. Imp: 5.
- Lec 4: Receiver structure (detection, signal space). Diff: 4. Imp.=:4
- Lec 5: Signal detection; Probability of symbol errors. Diff: 7. Imp: 8.
- Lec 6: ISI, Nyquist theorem. Diff: 6. Imp: 6.
- Lec 7: Modulation schemes; Coherent and non-coherent detection. Diff: 8. Imp: 9.
- Lec 8: Comparing different modulation schemes; Calculating symbol errors. Diff: 7. Imp: 9.
- Lec 9: Channel coding; Linear block codes. Diff: 3. Imp:7.
- Lec10: Convolutional codes. Diff: 2. Imp:8.
- Lec11: State and Trellis diagrams; Viterbi algorithm. Diff: 2. Imp: 9.
- Lec12: Properties of convolutional codes; interleaving; concatenated codes. Diff: 2. Imp: 5.
- You will be required to do a Design Project using MatLab to simulate your design and demonstrate it's performance in the presence of noise and Inter-Symbol interference (ISI). This includes a Design report and an in class PowerPoint presentation.

# Helpful hints for the course

- This course uses knowledge from the pre-requisite courses (EE301, ...) so go back and review your notes. We will review/introduce Signal/System analysis topics as they are used.
- It is good a practice to print out slides for each lecture and bring them along.
- Take extra notes on these print-outs (I may complement the slides with black board notes and examples). Thus, make sure not to squeeze in too many slides on each page when printing the slides.
- Try to browse through the slides before each lecture and read the chapter in the text. This will really help in picking up concepts quicker.
- Attend the lectures and ask questions! If you miss a class, ask a friend for extra notes.
- I will frequently repeat important concepts and difficult sections during the subsequent lecture(s), so that you will have several chances to make sure that you have understood the concepts/underlying ideas.

# Today, we are going to talk about:

- What are the features of a digital communication system?
  - Why “digital” instead of “analog”?
- What do we need to know before taking off toward designing a DCS?
  - Classification of signals
  - Random processes – see [Noise](#)
  - Autocorrelation
  - Power and energy spectral densities
  - Noise in communication systems
  - Signal transmission through linear systems
  - Bandwidth of a signal – see [Shannon](#)

# Digital communication system

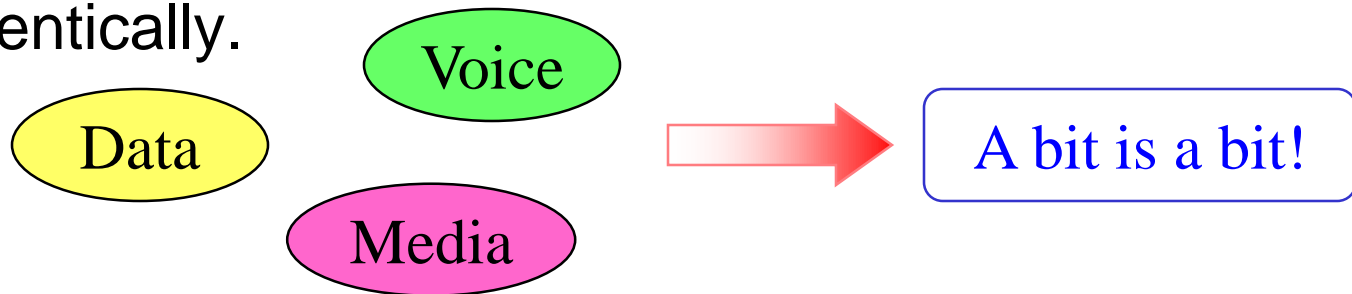
- Important features of a DCS:
  - The transmitter sends a waveform from a finite set of possible waveforms during a limited time
  - The channel distorts, attenuates the transmitted signal
  - The receiver decides which waveform was transmitted given the distorted/noisy received signal. There is a limit to the time it has to do this task.
  - The probability of an erroneous decision is an important measure of system performance

# Digital versus analog

- Advantages of digital communications:
  - Regenerator receiver



- Different kinds of digital signal are treated identically.

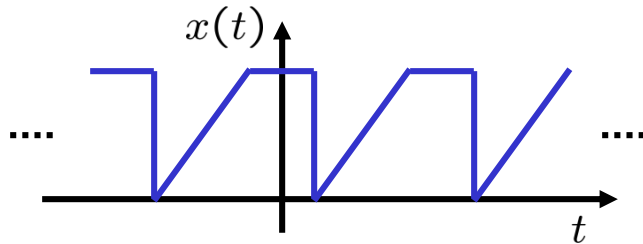


# Classification of signals

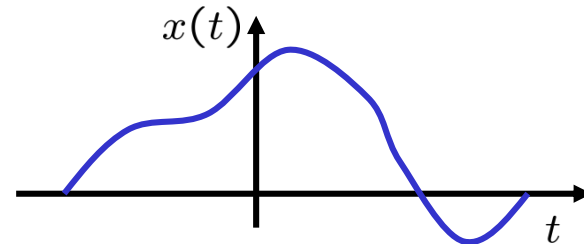
- Deterministic and random signals
  - Deterministic signal: No uncertainty with respect to the signal value at any time.
  - Random signal: Some degree of uncertainty in signal values before it actually occurs.
    - Thermal noise in electronic circuits due to the random movement of electrons. See my notes on [Noise](#)
    - Reflection of radio waves from different layers of ionosphere
    - Interference

# Classification of signals ...

## ■ Periodic and non-periodic signals

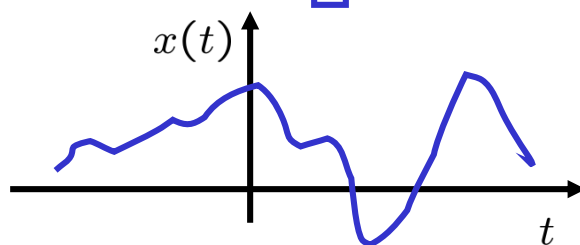
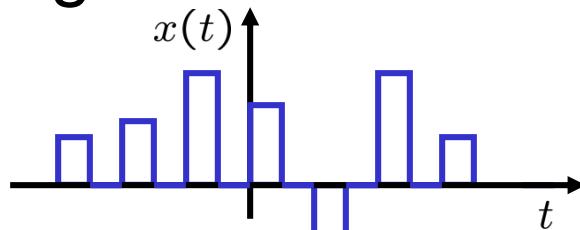


**A periodic signal**

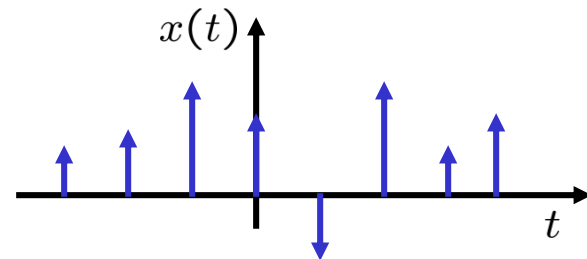


**A non-periodic signal**

## ■ Analog and discrete signals



**Analog signals**



**A discrete signal**

# Classification of signals ..

## ■ Energy and power signals

- A signal is an energy signal if, and only if, it has nonzero but finite energy for all time:

$$E_x = \lim_{T \rightarrow \infty} \int_{T/2}^{T/2} |x(t)|^2 dt = \int_{-\infty}^{\infty} |x(t)|^2 dt$$

$(0 < E_x < \infty)$

- A signal is a power signal if, and only if, it has finite but nonzero power for all time:

$$P_x = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{T/2}^{T/2} |x(t)|^2 dt$$

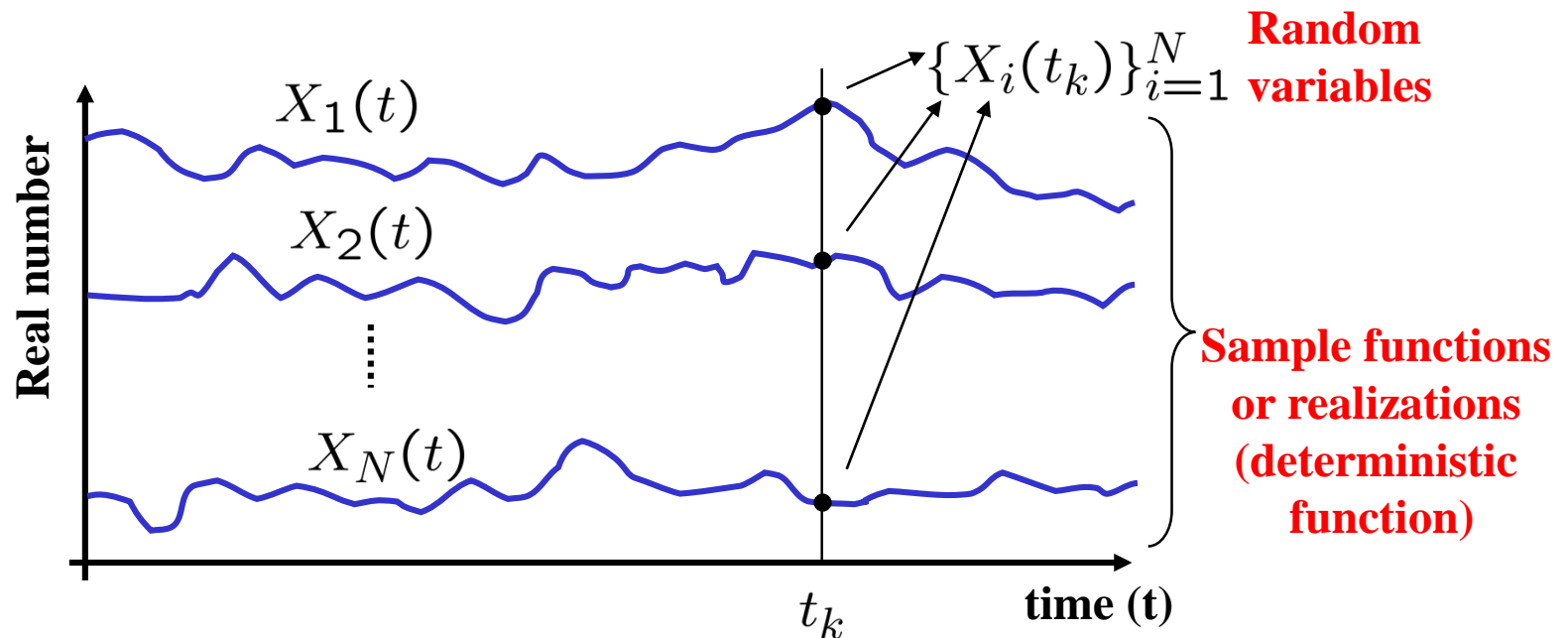
$(0 < P_x < \infty)$

- General rule: *Periodic and random signals are power signals. Signals that are both deterministic and non-periodic are energy signals.*



# Random process

- A random process is a collection (ensemble) of time functions, or signals, corresponding to various outcomes of a random experiment. For each outcome, there exists a deterministic function, which is called a sample function or a realization.



# Random process ...

- **Strictly stationary:** If none of the statistics of the random process are affected by a shift in the time origin.
- **Wide sense stationary (WSS):** If the mean and autocorrelation functions do not change with a shift in the origin time.
- **Cyclostationary:** If the mean and autocorrelation functions are periodic in time.
- **Ergodic process:** A random process is ergodic in mean and autocorrelation, if

$$m_X = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} X(t) dt$$

and

$$R_X(\tau) = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} X(t) X^*(t - \tau) dt$$

respectively. In other words, you get the same result from averaging over the ensemble or over all time.

# Autocorrelation

- Autocorrelation of an energy signal

$$R_x(\tau) = x(\tau) \star x^*(-\tau) = \int_{-\infty}^{\infty} x(t)x^*(t - \tau)dt$$

- Autocorrelation of a power signal

$$R_x(\tau) = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} x(t)x^*(t - \tau)dt$$

- For a periodic signal:

$$R_x(\tau) = \frac{1}{T_0} \int_{-T_0/2}^{T_0/2} x(t)x^*(t - \tau)dt$$

- Autocorrelation of a random signal

$$R_X(t_i, t_j) = E[X(t_i)X^*(t_j)]$$

- For a WSS process:

$$R_X(\tau) = E[X(t)X^*(t - \tau)]$$

# Spectral density

- Energy signals:

$$E_x = \int_{-\infty}^{\infty} |x(t)|^2 dt = \int_{-\infty}^{\infty} |X(f)|^2 df \quad X(f) = \mathcal{F}[x(t)]$$

- Energy spectral density (ESD):  $\Psi_x(f) = |X(f)|^2$

- Power signals:

$$P_x = \frac{1}{T_0} \int_{T_0/2}^{T_0/2} |x(t)|^2 dt = \sum_{n=-\infty}^{\infty} |c_n|^2 \quad \{c_n\} = \mathcal{F}[x(t)]$$

- Power spectral density (PSD):

$$G_x(f) = \sum_{n=-\infty}^{\infty} |c_n|^2 \delta(f - n f_0) \quad f_0 = 1/T_0$$

- Random process:

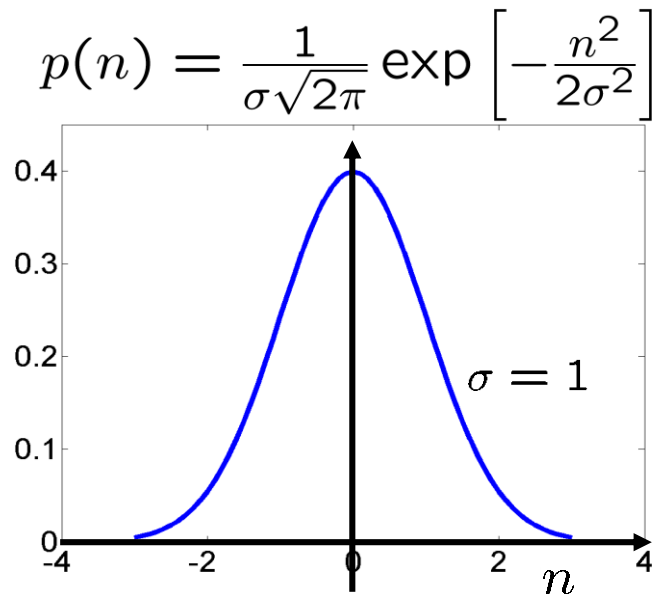
- Power spectral density (PSD):  $G_X(f) = \mathcal{F}[R_X(\tau)]$

# Properties of an autocorrelation function

- For real-valued (and WSS in case of random signals):
  1. Autocorrelation and spectral density form a Fourier transform pair. – see [Linear systems, noise](#)
  2. Autocorrelation is symmetric around zero.
  3. Its maximum value occurs at the origin.
  4. Its value at the origin is equal to the average power or energy.

# Noise in communication systems

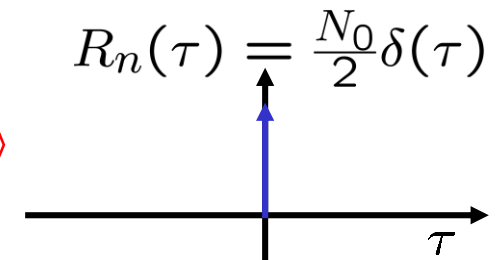
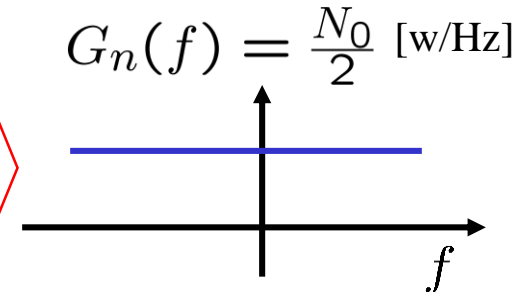
- Thermal noise is described by a zero-mean, Gaussian random process,  $n(t)$ .
- Its PSD is flat, hence, it is called white noise.  $\sigma$  is the *Standard Deviation* and  $\sigma^2$  is the *Variance* of the random process.



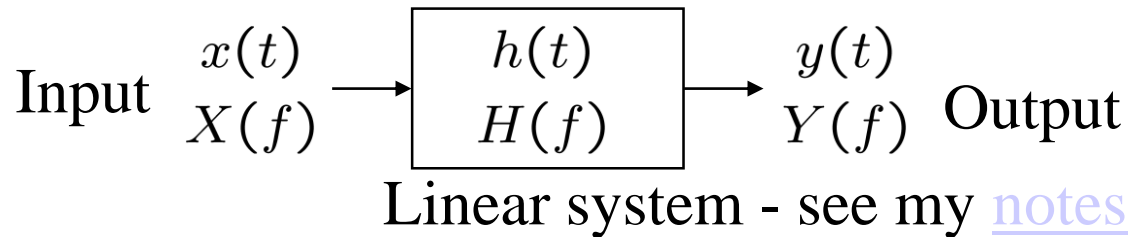
Probability density function

Power spectral density

Autocorrelation function



# Signal transmission through linear systems



- Deterministic signals:

$$Y(f) = X(f)H(f)$$

- Random signals:

$$G_Y(f) = G_X(f)|H(f)|^2$$

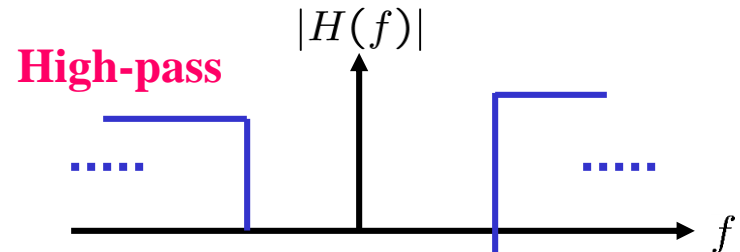
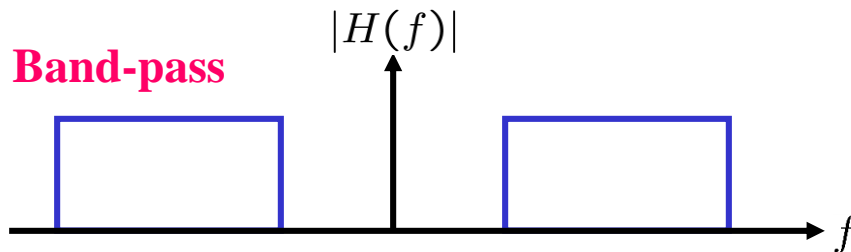
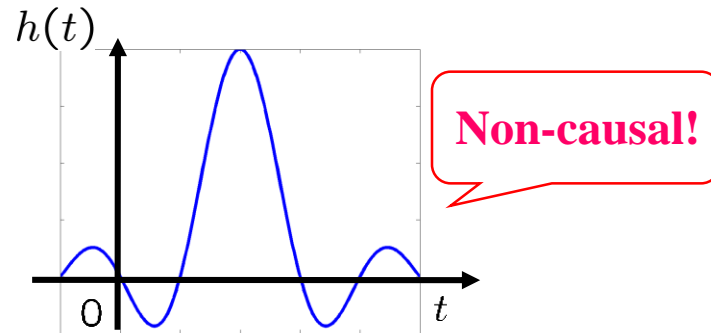
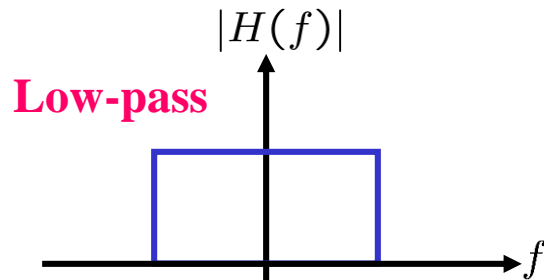
- Ideal distortion less transmission:

All the frequency components of the signal not only arrive with an identical time delay, but also are amplified or attenuated equally. AKA “Linear Phase” or “Constant group Delay”.

$$y(t) = Kx(t - t_0) \text{ or } H(f) = Ke^{-j2\pi ft_0}$$

# Signal transmission ... - cont'd

## ■ Ideal filters:



## ■ Realizable filters:

RC filters

$$H(f) = \frac{1}{1+j2\pi fRC}$$

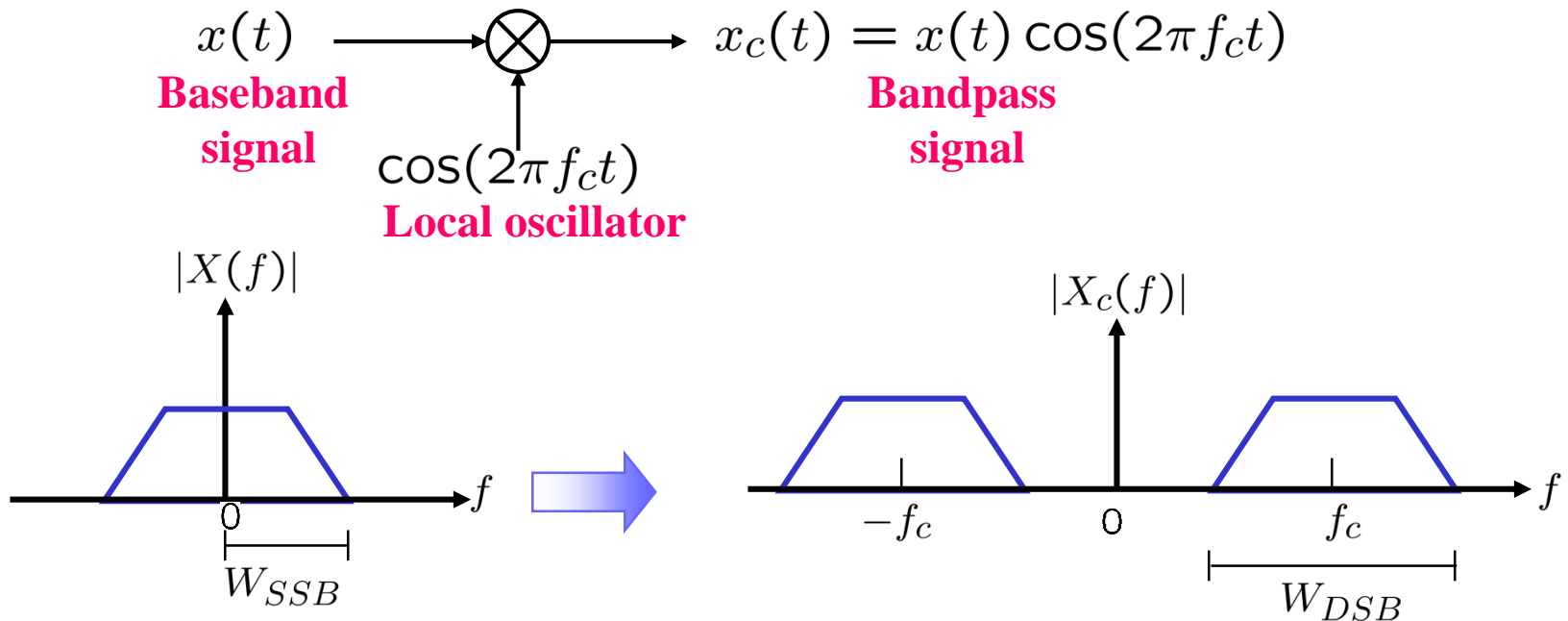
Butterworth filter

$$|H_n(f)| = \frac{1}{\sqrt{1+(f/f_u)^{2n}}}$$



# Bandwidth of signal

## ■ Baseband versus bandpass:



## ■ Bandwidth dilemma:

- Bandlimited signals are not realizable!
- Realizable signals have infinite bandwidth! We approximate “Band-Limited” in our analysis!

# Bandwidth of signal ...

## ■ Different definition of bandwidth:

- a) Half-power bandwidth
- b) Noise equivalent bandwidth
- c) Null-to-null bandwidth
- d) Fractional power containment bandwidth
- e) Bounded power spectral density
- f) Absolute bandwidth

